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ICC-SRCC™ RULES OF PROCEDURE FOR MARK & CERTIFICATE USE

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1. INTRODUCTION

This document establishes the rules and requirements for use of all Solar Rating & Certification Corporation (ICC-SRCC™) trademarks, including trademarks, service marks, and certification marks. It also establishes rules and requirements for the use of ICC-SRCC certificates, labels, listing reports and certification numbers.

2. ICC-SRCC CORPORATE TRADEMARKS

2.1 Mark Ownership

The following organizational trademarks (ICC-SRCC Trademarks) are owned and controlled by ICC-SRCC:



SOLAR RATING
& CERTIFICATION
CORPORATION™



SOLAR RATING
& CERTIFICATION
CORPORATION™

Solar Rating & Certification Corporation™

ICC-SRCC™

ICC-SRCC retains the sole and exclusive rights to the ICC-SRCC Trademarks. ICC-SRCC may create and use additional Trademarks, as it deems appropriate, and this policy shall apply to any such future or additional ICC-SRCC Trademarks

2.2 Prohibited Use of ICC-SRCC Trademarks

Individuals, businesses, and other organizations are not permitted to use the ICC-SRCC Trademarks unless permission is specifically granted in writing by ICC-SRCC. Permission to use ICC-SRCC Certification Marks, identified in

Section 3 of this Policy, does not include authorization to use the ICC-SRCC Trademarks.

2.3 Policy Violations and Related Matters

ICC-SRCC reserves, and may use, the full range of legal remedies and certification-related sanctions available under applicable laws and corporate policies to protect the ICC-SRCC Trademarks. Infringement of any ICC-SRCC Trademark, or any allegation(s) thereof, will be investigated, addressed and appropriately challenged.

Following the receipt of information that an unauthorized use of an ICC-SRCC Trademark may have occurred, ICC-SRCC, in consultation with legal counsel, will determine if and what responsive action(s) should be taken in accordance with this Policy and applicable Federal and State laws.

3. ICC-SRCC CERTIFICATION MARKS

3.1 Mark Ownership

The following certification marks and credentials (ICC-SRCC Certification Marks) are owned and controlled by ICC-SRCC:



1. ICC-SRCC Rated™ (Universal)



3. ICC-SRCC Listed™ (Universal)



5. ICC-SWCC Certified Small Wind Turbine™



7. CERTIFIED
MEDIUM WIND TURBINE

8. ICC-SWCC Certified Medium Wind Turbine™



9.

10. ICC-SRCC OG-100 Certified™ (Legacy)



11.

12. ICC-SRCC OG-300 Certified™ (Legacy)



13.

14. ICC-SRCC Listed™ (Legacy)

ICC-SRCC retains all trademark and other ownership rights in the ICC-SRCC Certification Marks. ICC-SRCC may create and use additional ICC-SRCC Certification Marks, as it deems appropriate.

3.2 Authorized Use of ICC-SRCC Certification Marks

Limited permission to use specific ICC-SRCC Certification Marks is granted to listees in good standing, as described in the *ICC-SRCC Rules for Solar Heating Cooling Listing Reports*, *ICC-SWCC Rules for Wind Turbine Listing Reports*, and this Policy. A qualified listee must satisfy all applicable ICC-SRCC certification requirements and be formally granted permission to use specific ICC-SRCC certification marks prior to use. Consistent with applicable law and corporate policies, ICC-SRCC will ensure that the Certification Marks

are displayed and otherwise used properly as such use represents ICC-SRCC certification to the public. Upon withdrawal or cancellation of ICC-SRCC certifications and/or listings, authorization to use ICC-SRCC Certification Marks is rescinded in accordance with the applicable *ICC-SRCC Rules of Procedure* and policies.

3.3 Persons and Entities Authorized to Use the Certification Marks/ Listee Responsibilities

Use of the ICC-SRCC Certification Marks is limited strictly to valid persons and entities named as listees in good standing or Additional Listees (as designated by the primary listee and approved by ICC-SRCC). Each listee is authorized to use only the ICC-SRCC Certification Mark that represents the appropriate product or system certification granted by ICC-SRCC, in a manner consistent with the applicable ICC-SRCC Rules of Procedure and related policies and applicable law.

Each listee accepts and assumes sole responsibility for understanding and satisfying all applicable organizational and legal requirements related to the permitted use and/or display of the ICC-SRCC Certification Marks. A listee may not use an ICC-SRCC Certification Mark in any manner not authorized by this Policy, the applicable ICC-SRCC Rules of Procedure or otherwise by ICC-SRCC. Among other requirements, the listee is responsible for ensuring that the use of any ICC-SRCC Certification Mark on the product or system, or in business materials related to the certified product or system (e.g., informational materials, advertisements, or Internet websites), is consistent with this Policy, and is not in conflict with applicable laws.

ICC-SRCC assumes no responsibility concerning the interpretation or application of such legal requirements. ICC-SRCC shall not be liable or otherwise responsible for any claims, complaints, suits, or damages whatsoever, relating to the use of the Certification Marks, or in connection with the use of such marks.

A listee may not make any public statements or representations related to ICC-SRCC Certification that misrepresent ICC-SRCC, ICC-SRCC policies, ICC-SRCC certification, the listee's products or systems, or that bring ICC-SRCC into disrepute.

3.4 Non-Assignability and Non-Transferability of the Certification Mark

Permission to use any ICC-SRCC Certification Mark is limited specifically to the listee and the product or system certified by ICC-SRCC, and may not be transferred to, assigned to, or otherwise used by, any other person, organization, business, or entity. Additional Listees are authorized to utilize the

certification mark where:

- Authorized by the primary listee,
- Approved and authorized by ICC-SRCC, and
- Listed on the certificate or listing document.

No title to or ownership rights in the ICC-SRCC Certification Marks, or any part thereof, is transferred to any listee.

3.5 General Appearance and Use of ICC-SRCC Certification Marks

Each listee may use the appropriate ICC-SRCC Certification Mark on the certified product or system or in business materials related to the certified product or system, including, but not limited to product labels, manuals, specification sheets, marketing materials, advertisements, and Internet websites, consistent with the following rules:

1. **Proper Use.** Each listee is only authorized to utilize the applicable Certification Mark only in conjunction with the specific product certified by ICC-SRCC. The Certification Mark may not appear in conjunction with the name of the persons or entities that design and/or manufacture the product or system, or the listee, in any manner that may lead the public to believe that a person, entity, or listee is certified or otherwise endorsed by ICC-SRCC. Additionally, a Certification Mark shall always be used in its entirety and must always appear with the appropriate subscript/superscript “®” or “™” trademark symbol. The seals may not be altered, cut apart, separated or otherwise distorted in perspective or appearance. This includes removing the words ICC-SRCC from the seal
2. **Other Marks.** With respect to other affiliation marks and/or logos, the Certification Mark may be located near these other marks or logos but must remain separate and distinct so as to avoid confusion concerning the source of the certification, and to avoid the appearance that other marks, certifications, credentials, designations, or organizations are associated with, or endorsed by, ICC-SRCC.
3. **Associated Text.** If using the name ICC-SRCC™ or Solar Rating & Certification Corporation™ each must be properly identified with a superscript “TM” to identify its trademarked status.
4. **Machine-Readable Codes.** Machine-readable codes, as described in Appendix B may be used in conjunction with ICC-SRCC certifications and listings as established in this document. Machine-readable codes associated with the marks, when used, must comply

with ICC-SRCC format requirements. The target URL must be the associated certification or listing document on the ICC-SRCC website (www.solar-rating.org or www.smallwindcertification.org).

3.6 Certification Marks for ICC-SRCC Programs

Proper uses and appearances of Certification Marks for each ICC-SRCC program are provided below.

3.6.1 Solar Thermal Product Certifications

Products certified under the OG-100, 300 and 500 programs shall be identified by means of a permanently affixed label and mark complying with the requirements of the applicable standard and the “Identification” section of the certificate. The label must include the “ICC-SRCC Rated” certification mark,



which will satisfy the requirement in the standards to identify the certification body. The “ICC-SRCC Rated” mark may be applied in green, black or white as shown below.

Optionally, the “ICC-SRCC Rated” mark may be amended with the name of the specific certification program for which it is being used (i.e. “OG-100”, “OG-300” or “OG-500”) as show below. No other text may be amended to the mark without prior approval from ICC-SRCC.



In addition to the certification mark, the label shall also include appropriate values and text to satisfy the labeling requirements as specified in the Identification section of the certificate. The information provided in the product label must match the corresponding values and units provided in the ICC-SRCC certificate and must be provided in the English language. Identical information may also be provided additional languages at the discretion of the listee. Values must be provided in both Imperial and SI units. Either may be used as the primary, with the secondary shown in parentheses. The

primary/secondary order used in the label must be consistent for all field entries in the label.

The listee is responsible for producing and affixing a durable product label to every product certified under the solar thermal certification programs in a manner complying with the associated standard, the conditions of the certificate and this document. Where the label is to be field applied, the listee shall provide the label along with directions for its application and location complying with the associated product standard.

ICC-SRCC also provides optional product label templates that satisfy OG-100, OG-300 and OG-500 requirements for use by listees. Listees are not required to utilize the ICC-SRCC label templates - they are only provided as a resource to assist listees. Product label templates are available in various graphic formats from ICC-SRCC.

3.6.2 Solar Heating and Cooling Product Listings

Products listed under the ICC-SRCC Solar Heating & Cooling Listing Program shall be identified by means of the “ICC-SRCC Listed” Mark and content as specified in the Identification Section of the listing document. Since listings allow for assessment of various covered products to multiple codes, standards and criteria, marking and labeling requirements may differ significantly between various listing documents.

The means of identification will also be specified in the Identification Section of the listing document, whether a physical label applied to the product, inclusion in a manual, or a combination of methods.

The “ICC-SRCC Listed” mark may be applied in blue, black or white as shown below. It may not be amended with additional text as in the case of the “ICC-SRCC” rated mark.



NOTE: ICC-SRCC announced the release of new “Universal” marks named “ICC-SRCC Rated” and “ICC-SRCC Listed” for solar thermal product programs in 2024. The new marks will be awarded for all new certification and listing holders beginning in September, 2024. Existing certification and listing holders will be permitted to continue to utilize the legacy marks shown below throughout 2025, but are encouraged to transition to the new marks by January 1, 2026. ICC-SRCC will begin to enforce the new marks for existing listees in 2026.



3.6.3 ENERGY STAR Residential Solar Water Heater Certifications

Certifications awarded to solar water heaters under the ENERGY STAR® Residential Water Heater program, are included in both the ICC-SRCC directory and one maintained by the ENERGY STAR program. Use of the ENERGY STAR mark is governed solely by the U.S. EPA through their current [ENERGY STAR Identity Guidelines](#) and Partner Commitments.

ICC-SRCC is an approved ENERGY STAR certification body, but does not manage the use of ENERGY STAR certification marks. Questions relating to the marks, their use, and other related rules should be directed to the ENERGY STAR program.

3.6.4 ICC-SWCC Small and Medium Wind Turbine Certification Programs


The *ICC-SWCC Rules for Wind Turbine Listing Reports* require all certified small and medium wind turbines to be identified by means of at least one page in the installation and/or operation manual containing the table below. ICC-SWCC will provide listees with the artwork with the appropriate performance ratings, and no changes are permitted. The entries on the table must match those published in the current turbine certification. Listees are encouraged, but not required, to apply the label to a readily observable location such as the turbine control panel.

Solar Rating & Certification Corporation (ICC-SRCC)
Small Wind Certification Program

Manufacturer: **ABC Wind**

Wind Turbine Model: **ABC1** (480 VAC, 3-phase, 60 Hz)

Certification Number: **SWCC-18-00**



Rated Annual Energy
 Estimated annual energy production assuming an annual average wind speed of 5 m/s (11.2 mph), a Rayleigh wind speed distribution, sea-level air density and 100% availability. Actual production will vary depending on site conditions.

00,000 kWh/year

Rated Sound Level
 The sound level that will not be exceeded 95% of the time, assuming an annual average wind speed of 5 m/s (11.2 mph), a Rayleigh wind speed distribution, sea-level air density, 100% availability and an observer location 60 m (~ 200 ft) from the rotor center.

00.0 dB(A)

Rated Power
 The wind turbine power output at 11 m/s (24.6 mph) at standard sea-level conditions.

0.0 kW

Certified to be in Conformance with:
AWEA Standard 9.1 – 2009

For SWCC Summary Report, Certificate and certification status visit:
www.smallwindcertification.org

Certification holders are also permitted to make use of the applicable SWCC Certified certification marks shown below on marketing materials, websites and product labels in accordance with the *ICC-SWCC Rules for Wind Turbine Listing Reports* and this document.



3.6.5 Machine-Readable Codes

Machine-readable codes linking to ICC-SRCC certification and/or listing documents may be incorporated into product labels. Machine readable codes

(also known as QR Codes) linking to certification or listing documents and featured on product labels for ICC-SRCC certification and listing programs shall comply with the following unless specifically authorized in writing by ICC-SRCC:

1. The use of the machine-readable codes on product labels required under ICC-SRCC programs is optional.
2. Machine-readable codes for use on labels for ICC-SRCC programs shall link to the appropriate certification or listing document and shall be generated and supplied to the listee by ICC-SRCC. No other machine-readable codes shall be permitted on the product label.
3. Machine-readable codes provided by ICC-SRCC may never be associated with products that are not the subject of the ICC-SRCC certification or listing to which they link and are non-transferable.
4. Machine-readable codes may not be used in lieu of any marks or information required for the label for each ICC-SRCC certification or listing.
5. Machine-readable codes provided by ICC-SRCC may not be altered, cut apart, separated or otherwise distorted in perspective or appearance.
6. The preferred color for all machine-readable codes is black.
7. Machine-readable codes must be rendered with sufficient resolution to permit the proper reading of the code by appropriate equipment.
8. Machine-readable codes shall be reproduced in a size matching the height of the applicable ICC-SRCC certification mark.
9. If a certification or listing associated with a machine-readable code is withdrawn or cancelled, the linked document will no longer be accessible. The user will be notified that the certification or listing linked to the code is no longer active.

4. ICC-SRCC Certificates

4.1 Authorized Use of ICC-SRCC Certificates

Limited permission to use ICC-SRCC certificates and listing documents is granted to listees in good standing as specified in the ICC-SRCC program policies and this document.

4.2 Reproduction of ICC-SRCC Certificates

The current edition of any ICC-SRCC certification or listing document shall be

that posted publicly on the ICC-SRCC directory. The current certification or listing document may be reproduced, in its entirety, without any alterations, by the listee in the certification holder's literature, advertising, or promotional materials. Listees are also expressly prohibited from using in advertising, promotional and informational materials, any language that would likely mislead the public about their ICC-SRCC certifications or listings. Listees shall not misrepresent ICC-SRCC certificates or listings in any way, and not to use the report in such a manner as to bring ICC-SRCC into disrepute; and to secure ICC-SRCC approval in advance whenever there is a question about the use of the ICC-SRCC name, mark or report number to claim or imply product recognition beyond the recognition specified in the certificate. ICC-SRCC reserves the right to interpret what would constitute misleading language.

In lieu of reproducing the entire certificate in literature, advertising or promotional materials, listees may use references and statements such as: "See ICC-SRCC OG-XXX Certification No. XXXXXXXX (insert numbers) at www.solar-rating.org" It is permissible to provide a hyperlink directly to the referenced certification or listing document.

5. Non-Interference with Use of the Marks by Other Listees

A listee may not prohibit, restrict, or otherwise limit the authorized and appropriate use of the Certification Mark(s) on a certified product or system by another listee.

6. Policy Violations and Related Matters

ICC-SRCC reserves, and may use, the full range of legal remedies and certification-related sanctions available under applicable laws and corporate policies to protect the ICC-SRCC Certification Marks. Infringement of any ICC-SRCC Certification Mark will be challenged. Holders and Applicants for ICC-SRCC Certification are required to cooperate fully in the review and resolution of such matters.

Following the receipt of information that an unauthorized use of an ICC-SRCC Certification Mark may have occurred, ICC-SRCC, in consultation with legal counsel, will determine if responsive action(s) will be taken in accordance with this Policy and applicable Federal and State laws.

6.1 Certification Actions and Decisions Related to Mark Misuse by a listee or Applicant for ICC-SRCC Certification

ICC-SRCC will review and resolve all complaints and other matters concerning potential violations of this Policy by listees and applicants for ICC-SRCC

certifications and/or listings. Following a determination that a listee or applicant may have acted contrary to any applicable ICC-SRCC Policy or law, ICC-SRCC will notify the listee or applicant in writing by issuing a Notice of Potential Policy Violation (Notice), which will require a complete, written response to each complaint matter within thirty (30) days. Based upon the information received and reviewed, including the listee/ Applicant response to the Notice, the ICC-SRCC will determine whether the listee/Applicant has violated the terms of this Policy, and will issue a decision, including any certification-related sanctions and/or corrective actions. Such sanctions and actions may include:

1. Denial and rejection of the Applicant's Application for certification or listing;
2. Private reprimand and censure;
3. Public reprimand and censure;
4. Conditions of continued certification;
5. Certification probation;
6. Certification suspension;
7. Revocation or termination of certification;
8. Additional certification surveillance and/or;
9. Other measures that ICC-SRCC deems appropriate.

All decisions issued by ICC-SRCC under this Policy may be appealed as specified in the *ICC-SRCC Rules of Procedure for Complaints and Appeals*.

In addition, ICC-SRCC may refer cases of certification mark misuse, infringement, or other similar matters to appropriate agencies and other organizations.

6.2 Reporting Violations

Listees have the responsibility to report the unauthorized use, misuse, or other violation of this Policy to ICC-SRCC in a timely manner.

APPENDIX A: ICC-SRCC SEAL SPECIFICATIONS

The following information regarding the format and colors of the ICC-SRCC seals is provided to assist with the process of reproducing and printing them. Graphic files of each mark are available to listees from ICC-SRCC upon request.

Specifications for the dimensions and content of the ICC-SRCC marks are as follows:

Recommended Minimum Mark Size: 1 inch high, maintaining the aspect ratio

“RATED” Font: Trade Gothic LT Std, Bold Condensed No.20.

“LISTED” Font: Trade Gothic LT Std, Bold Condensed No.20.

“OG-100”, “OG-300”, “OG-500” Font: Roboto Bold

ICC-SRCC RATED SEALS



HEX: 0B5940

RGB: 11, 89, 64

CMYK: 89, 40, 80, 36

PANTONE: 7729C

ICC-SRCC LISTED SEALS



HEX: 0A8EF3

RGB: 10, 142, 243

CMYK: 74, 40, 0, 0

PANTONE: 279 C